



**Application form:
RTA Community Planning Program and
CMAP Local Technical Assistance Program**

DEADLINE: Noon on Thursday, June 29, 2017

This application form is online at www.rtachicago.org/applications. You may submit the form by email to applications@rtachicago.org.

Upon receipt of application, you will receive an e-mail verifying that your application has been received.

1. Name of Applicant

Villages of Oswego & Montgomery & United City of Yorkville – Joint Study of Governance Structure for Shared Water Treatment Plant

2. Main Contact for Application

Name: **Jennifer Hughes**

Title: **Public Works Director – Oswego**

Phone number: **630-551-2366**

Email: **jhughes@oswegoil.org**

3. Type of Applicant (please check any that apply)

☐ Local government

☒ Multijurisdictional group*



Please list the members of the group (including government and nongovernmental organizations):

Village of Oswego

Village of Montgomery

United City of Yorkville

☐ Nongovernmental organization*



Name of local government partner(s):

*Applications submitted by multijurisdictional groups and nongovernmental organizations must include a letter indicating support from each relevant local government. See the FAQs for more information. Nongovernmental applicants are strongly encouraged to contact CMAP or the RTA prior to submitting their application to discuss their project and the demonstration of local support.

4. Project Type (please check any that apply)

Please check all statements below that describe characteristics of your project.

- ☒ My project involves preparation of a plan.
- ☐ My project involves updating an already existing plan.
- ☒ My project helps to implement a past plan.
- ☐ My project links land use, transportation, and housing.
- ☐ My project has direct relevance to public transit and supports the use of the existing transit system.
- ☒ My project is not directly related to transportation or land use, but implements GO TO 2040 in other ways.

5. Local Match Requirement (please initial to indicate you are aware of the local match requirements)

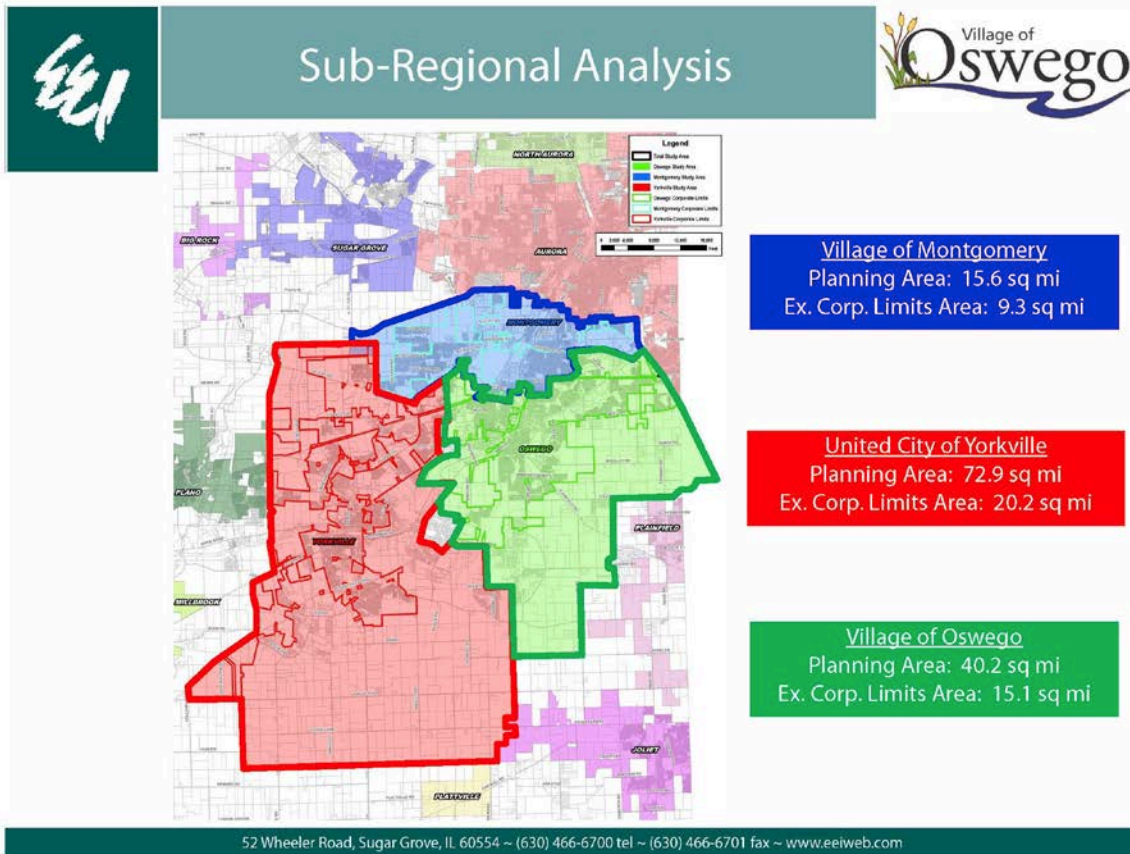
I am aware that a local match will be required for most projects, and understand that if my project is selected it is up to the project applicant to contribute a local match. (See the program guide for further details on local match requirements.)

- ☒ Yes, I understand that applicants will be required to contribute a local match.

6. Project Location

Please provide a brief description of the location of your project. You may include a map if that helps to describe location, but this is not required. If your project helps to implement a past plan, please include a link to that plan.

The project involves three communities combining resources to procure a sustainable water source to support our existing and future population. The three communities are located along the Fox River primarily in Kendall County.



7. Project Description

Please tell us what you would like to do in your community, and what assistance is needed. If you have more than one idea, please submit a separate application for each project. Please be specific, but also brief (less than two pages per project idea)—we simply want to have a basic understanding of what you want to do. For plan updates please tell us how you will be building upon (or replacing) the previous work. Program staff will follow-up with you if we need any additional information to fully understand your proposed project.

The Villages of Oswego and Montgomery, along with the United City of Yorkville, are jointly seeking an alternate water supply to address the dwindling ground water supply. One option is to withdraw water from the Fox River. The 2016 Sub Regional Water Supply Study undertaken by the communities suggests that a joint project where water is drawn by a single plant is not only viable but may be the most cost effective option.

The communities are unsure as to the best way to create a governance structure for this endeavor. We are aware of several options:

- Establish a JAWA independent of the communities
- Create a consortium with board membership appointed by the communities
- Identify a lead community that produces and sells bulk water to the others

- Other

The LTA would explore the pros/cons of the options so that the boards can make an informed decision. Key issues to be addressed include the following:

- Authority to enter agreement/create new organization
- Decision making process
- Dispute resolution
- Funding
 - how costs are apportioned among the communities
 - how organization raises funds for preliminary work (land acquisition, design, construction) before coming on line
- Withdrawal from agreement
- Schedule
- Commitments from communities to perform required internal improvements to make system work

8. Previous Plan Implementation Efforts

Please describe actions you have taken to implement previous plans in your community – whether your efforts were successful or not – to achieve infrastructure improvements, development investment, policy changes, advocacy, volunteer involvement, or other actions. If you do not have experience implementing previous planning work, please describe what you will do to make sure that your plan is implemented. Illustrating a commitment to implement plan recommendations is very important to both agencies as we consider new planning projects.

IN 2014, the Villages of Oswego and Montgomery, along with the United City of Yorkville, partnered with CMAP to research and identify potential opportunities that could be implemented to increase our financial and operational efficiencies through working together. This study set the stage for the current project as the communities recognize the value of working cooperatively to achieve a common goal.

The communities followed up in 2016 with a joint study of the feasibility of utilizing Fox River water as an alternate water supply. The study looked at construction of three plants versus a single, shared plant. The study concludes that a shared plant is a cost effective. The question to be resolved is how to best organize the governance structure for such a project remains.

9. Additional Strategic Partnerships

Please list any additional partners you may want to include in this planning project. Please specify if you have made contact with them in advance of submitting this application.

(Please include any additional information that is relevant, preferably by providing links to online documents.)

Letters of Support from the Villages of Oswego and Montgomery and the United City of Yorkville are attached.



*Daniel W. Di Santo,
Village Administrator*

100 Parkers Mill • Oswego, IL 60543 • (630) 554-2360 • Fax: (630) 551-4854
Website: <http://www.oswegoil.org>

June 23, 2017

Tony Manno, Senior Planner
Chicago Metropolitan Agency for Planning
233 S. Wacker Drive, Suite 800
Chicago, IL 60606

Re: CMAP Local Technical Assistance Program

Dear Tony:

The Village of Oswego is pleased to support the CMAP Local Technical Assistance (LTA) request with the Village of Montgomery and the United City of Yorkville to conduct a joint study of governance structures for a shared water treatment plant. Our three municipalities continue to achieve new levels of efficiency through combined efforts, which have blossomed since the 2013 CMAP LTA shared services study, and we believe this LTA application will take our partnership to the next level.

The 2013 CMAP study was the genesis of a sub-regional water supply and treatment analysis that recommended our three communities work together to plan for a joint Fox River water treatment facility. It is critical that our communities identify a sustainable water source since our ground water supply is projected to deplete over the next few decades. One of the first implementation steps outlined in the analysis is to identify a governance structure for the shared water plant. As such, this LTA application would help our communities move closer towards a solution to our regional water issue.

We thank you for your consideration of this application.

Very Truly Yours,

Daniel W. Di Santo
Village Administrator



Village Board

Matt Brolley
Village President

Penny FitzPatrick
Village Clerk

Trustees:
Stan Bond
Peter Heinz
Steve Jungermann
Denny Lee
Doug Marecek
Theresa Sperling

Staff

Jeff Zoepfel
Village
Administrator

Daniel Meyers
Chief of Police

Todd Hoppenstedt
Dir. of Public Works

Justin VanVooren
Director of Finance

Richard Young
Dir. of Community
Development

June 22, 2017

Tony Manno, Senior Planner
Chicago Metropolitan Agency for Planning
233 South Wacker Drive, Suite 800
Chicago, Illinois 60606

Re: CMAP Local Technical Assistance Program

The Village of Montgomery is pleased to offer this letter of support for the request for Local Technical Assistance by the Villages of Oswego and Montgomery and the United City of Yorkville to conduct a joint study of a governance structure for a shared water treatment plant. In 2013 CMAP provided technical assistance to these same communities for a study that explored opportunities for sharing municipal staff, services and equipment. One of the ideas that grew out of that study was the possibility of a shared water treatment plant. All three municipalities have approved this idea in concept and are now seeking assistance to create a governance structure for this endeavor.

The findings and recommendations of this new study will guide our three communities to provide a sustainable future water supply in order to address concerns about depleting the groundwater that is our current water source. We expect that this study would explore issues such as structure, funding options, schedule, decision making process, dispute resolution and other issues that must be decided by intergovernmental agreement in order to make a joint facility work. As this is a regional concern, we believe that a joint solution between our three municipalities may offer the most efficient and cost-effective benefit to our residents going forward.

Sincerely,

Jeff Zoepfel
Village Administrator



United City of Yorkville
800 Game Farm Road
Yorkville, Illinois 60560
Telephone: 630-553-4350
www.yorkville.il.us

June 26, 2017

Tony Manno, Senior Planner
Chicago Metropolitan Agency for Planning
233 South Wacker Drive, Suite 800
Chicago, IL 60606

Re: CMAP Local Technical Assistance Program

Dear Tony,

The United City of Yorkville is pleased to support the CMAP Local Technical Assistance (LTA) request with the Village of Oswego and Montgomery to conduct a joint study of governance structures for a shared water treatment plant. This is a study that is a natural offshoot of the results of the 2013 CMAP LTA shared services study with Oswego, Montgomery, and Yorkville (OMY).

Since the 2013 study, the staff from OMY has been meeting to discuss different shared service opportunities, including studying the long-term sustainability of the deep-water aquifer that all three communities use. Each community has identified that a jointly-constructed and jointly-operated Fox River water intake plant is our preferred solution for long-term water supply. One of the next steps in this process is to study and discuss governance of the shared OMY water plant. We believe that CMAP, through the LTA process, can be of great assistance in studying other examples of governance.

Sincerely,

Bart Olson
City Administrator